

Fast Gröbner basis computation and polynomial reduction for generic bivariate ideals

Joris van der Hoeven, Robin Larrieu

Laboratoire d'Informatique de l'Ecole Polytechnique (LIX)



JNCF 2019 – Luminy
05th February 2019

Let $\langle A, B \rangle$ be the ideal generated by A and B ($A, B \in \mathbb{K}[X, Y]$).

- Given $P \in \mathbb{K}[X, Y]$, check if $P \in \langle A, B \rangle$.
(ideal membership test)
- Compute a normal form of $\bar{P} \in \mathbb{K}[X, Y]/\langle A, B \rangle$.
(computation in the quotient algebra)

Let $\langle A, B \rangle$ be the ideal generated by A and B ($A, B \in \mathbb{K}[X, Y]$).

- Given $P \in \mathbb{K}[X, Y]$, check if $P \in \langle A, B \rangle$.
(ideal membership test)
- Compute a normal form of $\bar{P} \in \mathbb{K}[X, Y]/\langle A, B \rangle$.
(computation in the quotient algebra)

Classical solution using *Gröbner bases*.

- Fast Gröbner basis algorithms rely on linear algebra (ex: F4, F5...)
- Can we do it with polynomial arithmetic?

- Fast Gröbner basis algorithms rely on linear algebra (ex: F4, F5...)
- Can we do it with polynomial arithmetic?
 - Given a Gröbner basis G , can we reduce P modulo G faster?

- Fast Gröbner basis algorithms rely on linear algebra (ex: F4, F5...)
- Can we do it with polynomial arithmetic?
 - Given a Gröbner basis G , can we reduce P modulo G faster?
 - Are these ideas useful to compute G faster?

- Fast Gröbner basis algorithms rely on linear algebra (ex: F4, F5...)
- Can we do it with polynomial arithmetic?
 - Given a Gröbner basis G , can we reduce P modulo G faster?
 - Are these ideas useful to compute G faster?

Setting and notations

- $I = \langle A, B \rangle$ with generic $A, B \in \mathbb{K}[X, Y]$ given in total degree.
- Use the degree lexicographic order to compute G .
- $\deg A = n$ and $\deg B = m$ with $n \leq m$ (in this talk $n = m$)
- We want to reduce P with $\deg P = d$

- Fast Gröbner basis algorithms rely on linear algebra (ex: F4, F5...)
- Can we do it with polynomial arithmetic?
 - Given a Gröbner basis G , can we reduce P modulo G faster?
 - Are these ideas useful to compute G faster?

Setting and notations

- $I = \langle A, B \rangle$ with generic $A, B \in \mathbb{K}[X, Y]$ given in total degree.
- Use the degree lexicographic order to compute G .
- $\deg A = n$ and $\deg B = m$ with $n \leq m$ (in this talk $n = m$)
- We want to reduce P with $\deg P = d$

Main result

In this specific setting, a quasi-optimal algorithm exists !

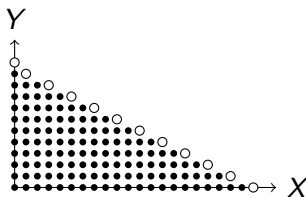
- 1 Presentation of the problem
 - Polynomial reduction: complexity
 - Gröbner bases: concise representation

- 2 Faster computation
 - Polynomial reduction
 - Gröbner basis

Outline

- 1 Presentation of the problem
 - Polynomial reduction: complexity
 - Gröbner bases: concise representation
- 2 Faster computation

Polynomial reduction: complexity



- A, B : $\Theta(n^2)$ coefficients
- $\mathbb{K}[X, Y]/I$: dimension $\Theta(n^2)$
- G : $\Theta(n^3)$ coefficients ($\Theta(n^2)$ for each G_i)

Reduction using G needs at least $\Theta(n^3) \implies$ reduction with less information?

Related results

Theorem (van der Hoeven – ACA 2015)

The extended reduction of P modulo G can be computed in quasi-linear time with respect to the size of the equation

$$P = \sum_i Q_i G_i + R$$

Related results

Theorem (van der Hoeven – ACA 2015)

The extended reduction of P modulo G can be computed in quasi-linear time with respect to the size of the equation

$$P = \sum_i Q_i G_i + R$$

- But this equation has size $\Theta(n^3)$ and we would like to achieve $\tilde{O}(n^2)$ complexity. . .

Related results

Theorem (van der Hoeven – ACA 2015)

The extended reduction of P modulo G can be computed in quasi-linear time with respect to the size of the equation

$$P = \sum_i Q_i G_i + R$$

- But this equation has size $\Theta(n^3)$ and we would like to achieve $\tilde{O}(n^2)$ complexity. . .
- \implies Somehow reduce the size of the equation.

Related results

Theorem (van der Hoeven, L. – ISSAC 2018)

A special class of bases called *vanilla Gröbner bases* admit a terse representation in $\tilde{O}(n^2)$ space. Assuming this representation has been precomputed, reduction can be done in time $\tilde{O}(n^2)$.

Related results

Theorem (van der Hoeven, L. – ISSAC 2018)

A special class of bases called *vanilla Gröbner bases* admit a terse representation in $\tilde{O}(n^2)$ space. Assuming this representation has been precomputed, reduction can be done in time $\tilde{O}(n^2)$.

- Problem: in this setting, G is *not* vanilla.
(vanilla Gröbner bases rely on different assumptions)

Related results

Theorem (van der Hoeven, L. – ISSAC 2018)

A special class of bases called *vanilla Gröbner bases* admit a terse representation in $\tilde{O}(n^2)$ space. Assuming this representation has been precomputed, reduction can be done in time $\tilde{O}(n^2)$.

- Problem: in this setting, G is *not* vanilla.
(vanilla Gröbner bases rely on different assumptions)
- But ... similar ideas still apply.
(We use essentially the same tricks, although the algorithm is very different).

Gröbner bases: concise representation – 1

The Gröbner basis is generated by A and $B \implies$ there are relations between the G_i (redundant information)

Gröbner bases: concise representation – 1

The Gröbner basis is generated by A and $B \implies$ there are relations between the G_i (redundant information)

- Reduced Gröbner basis:

$$G_{i+2}^{\text{red}} = \text{Spol}(G_i^{\text{red}}, G_{i+1}^{\text{red}}) \text{ rem } G_0^{\text{red}}, \dots, G_{i+1}^{\text{red}}$$

Gröbner bases: concise representation – 1

The Gröbner basis is generated by A and $B \implies$ there are relations between the G_i (redundant information)

- Reduced Gröbner basis:

$$G_{i+2}^{\text{red}} = \text{Spol}(G_i^{\text{red}}, G_{i+1}^{\text{red}}) \text{ rem } G_0^{\text{red}}, \dots, G_{i+1}^{\text{red}}$$

- Remark: $G_{i+2} = \text{Spol}(G_i, G_{i+1}) \text{ rem } G_i, G_{i+1}$ also gives a Gröbner basis.

Gröbner bases: concise representation – 1

The Gröbner basis is generated by A and $B \implies$ there are relations between the G_i (redundant information)

- Reduced Gröbner basis:

$$G_{i+2}^{\text{red}} = \text{Spol}(G_i^{\text{red}}, G_{i+1}^{\text{red}}) \text{ rem } G_0^{\text{red}}, \dots, G_{i+1}^{\text{red}}$$

- Remark: $G_{i+2} = \text{Spol}(G_i, G_{i+1}) \text{ rem } G_i, G_{i+1}$ also gives a Gröbner basis.

$$\begin{pmatrix} G_{i+1} \\ G_{i+2} \end{pmatrix} = M_i \begin{pmatrix} G_i \\ G_{i+1} \end{pmatrix}$$

Gröbner bases: concise representation – 1

The Gröbner basis is generated by A and $B \implies$ there are relations between the G_i (redundant information)

- Reduced Gröbner basis:

$$G_{i+2}^{\text{red}} = \text{Spol}(G_i^{\text{red}}, G_{i+1}^{\text{red}}) \text{ rem } G_0^{\text{red}}, \dots, G_{i+1}^{\text{red}}$$

- Remark: $G_{i+2} = \text{Spol}(G_i, G_{i+1}) \text{ rem } G_i, G_{i+1}$ also gives a Gröbner basis.

$$\begin{pmatrix} G_{i+k} \\ G_{i+k+1} \end{pmatrix} = M_{i,k} \begin{pmatrix} G_i \\ G_{i+1} \end{pmatrix}$$

Gröbner bases: concise representation – 1

The Gröbner basis is generated by A and $B \implies$ there are relations between the G_i (redundant information)

- Reduced Gröbner basis:

$$G_{i+2}^{\text{red}} = \text{Spol}(G_i^{\text{red}}, G_{i+1}^{\text{red}}) \text{ rem } G_0^{\text{red}}, \dots, G_{i+1}^{\text{red}}$$

- Remark: $G_{i+2} = \text{Spol}(G_i, G_{i+1}) \text{ rem } G_i, G_{i+1}$ also gives a Gröbner basis.

$$\begin{pmatrix} G_{i+k} \\ G_{i+k+1} \end{pmatrix} = M_{i,k} \begin{pmatrix} G_i \\ G_{i+1} \end{pmatrix}$$

$G_0 \cong A$, $G_1 \cong B$ and well-chosen $M_{i,k}$ hold all information about G .

Gröbner bases: concise representation – 1

The Gröbner basis is generated by A and $B \implies$ there are relations between the G_i (redundant information)

- Reduced Gröbner basis:

$$G_{i+2}^{\text{red}} = \text{Spol}(G_i^{\text{red}}, G_{i+1}^{\text{red}}) \text{ rem } G_0^{\text{red}}, \dots, G_{i+1}^{\text{red}}$$

- Remark: $G_{i+2} = \text{Spol}(G_i, G_{i+1}) \text{ rem } G_i, G_{i+1}$ also gives a Gröbner basis.

$$\begin{pmatrix} G_{i+k} \\ G_{i+k+1} \end{pmatrix} = M_{i,k} \begin{pmatrix} G_i \\ G_{i+1} \end{pmatrix}$$

$G_0 \cong A$, $G_1 \cong B$ and well-chosen $M_{i,k}$ hold all information about G . Also, little information is required to compute the $M_{i,k}$.
 \cong (univariate) GCD computation on the main diagonals of A, B .

Gröbner bases: concise representation – 2

The coefficients of each G_i are needed to compute the reduction, but there are too many.

- Keep only enough coefficients to evaluate Q_i
- Then, rewrite $G_i = f(G_k, G_{k+1})$ to evaluate the remainder.

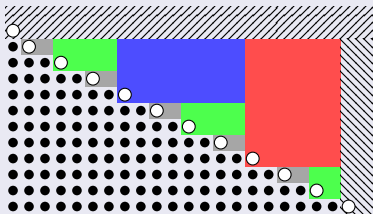
Gröbner bases: concise representation – 2

The coefficients of each G_i are needed to compute the reduction, but there are too many.

- Keep only enough coefficients to evaluate Q_i
- Then, rewrite $G_i = f(G_k, G_{k+1})$ to evaluate the remainder.

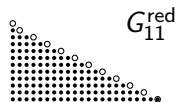
\Rightarrow Control the degree of the quotients.

Dichotomic selection strategy

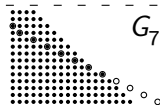
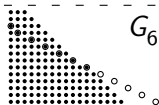
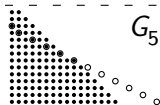
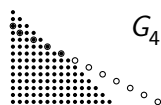
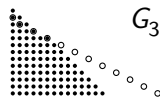
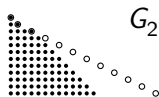
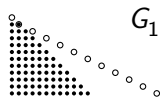
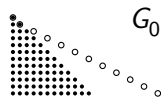


- $n/2$ quotients of degree 1
- $n/4$ quotients of degree 4
- $n/8$ quotients of degree 10
- ...
- $n/2^i$ quotients of degree $3 \times 2^{i-1} - 2$

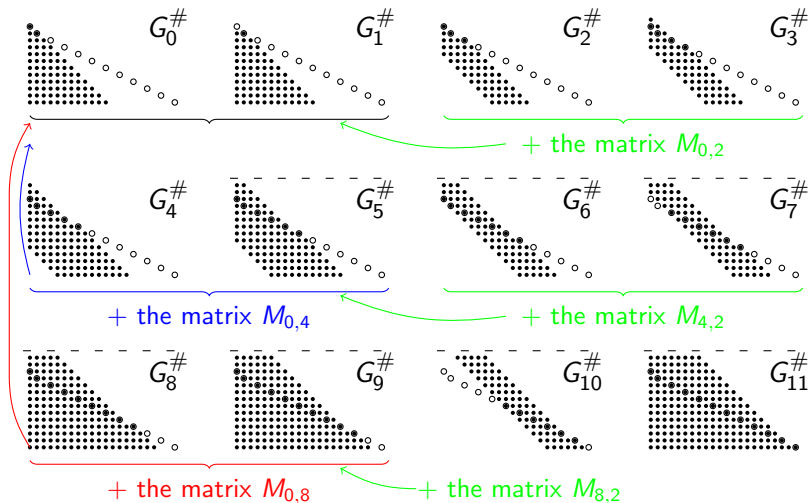
Gröbner bases: concise representation – Example



Gröbner bases: concise representation – Example



Gröbner bases: concise representation – Example



Outline

- 1 Presentation of the problem
- 2 Faster computation
 - Polynomial reduction
 - Gröbner basis

Polynomial reduction – Overview

Reminder

Equation $P = \sum_i Q_i G_i + R$ is too large: $\Theta(n^3)$ instead of $\tilde{O}(n^2)$

Adapt the algorithm to take advantage of the concise representation:

Polynomial reduction – Overview

Reminder

Equation $P = \sum_i Q_i G_i + R$ is too large: $\Theta(n^3)$ instead of $\tilde{O}(n^2)$

Adapt the algorithm to take advantage of the concise representation:

- Use the truncated elements $G_i^\#$ instead of G_i to reduce the size of the equation.

Polynomial reduction – Overview

Reminder

Equation $P = \sum_i Q_i G_i + R$ is too large: $\Theta(n^3)$ instead of $\tilde{O}(n^2)$

Adapt the algorithm to take advantage of the concise representation:

- Use the truncated elements $G_i^\#$ instead of G_i to reduce the size of the equation.
- The precision of $G_i^\#$ is chosen (by definition) sufficient to compute Q_i .

Polynomial reduction – Overview

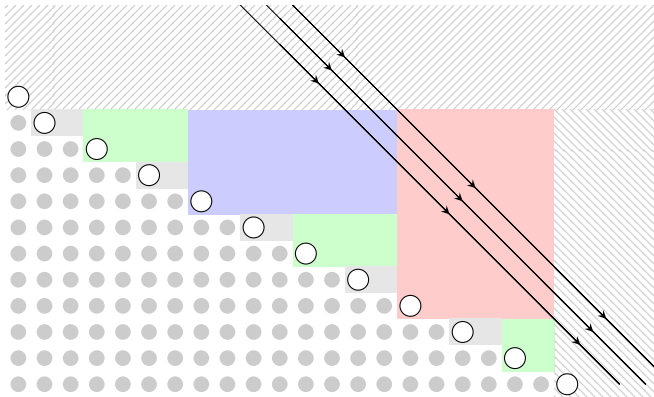
Reminder

Equation $P = \sum_i Q_i G_i + R$ is too large: $\Theta(n^3)$ instead of $\tilde{O}(n^2)$

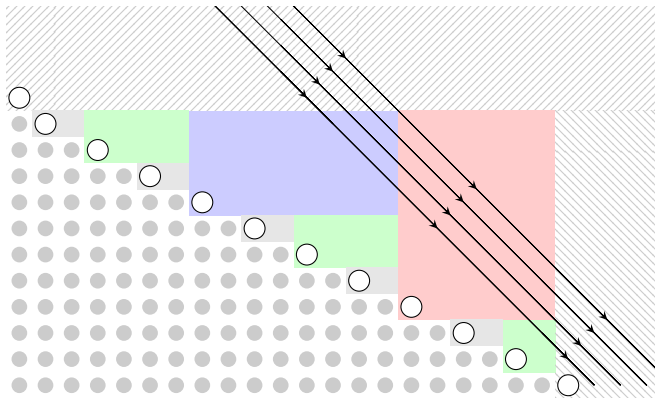
Adapt the algorithm to take advantage of the concise representation:

- Use the truncated elements $G_i^\#$ instead of G_i to reduce the size of the equation.
- The precision of $G_i^\#$ is chosen (by definition) sufficient to compute Q_i .
- Once Q_i is known, replace $Q_i G_i$ by $S_k G_k + S_{k+1} G_{k+1}$ to increase precision.

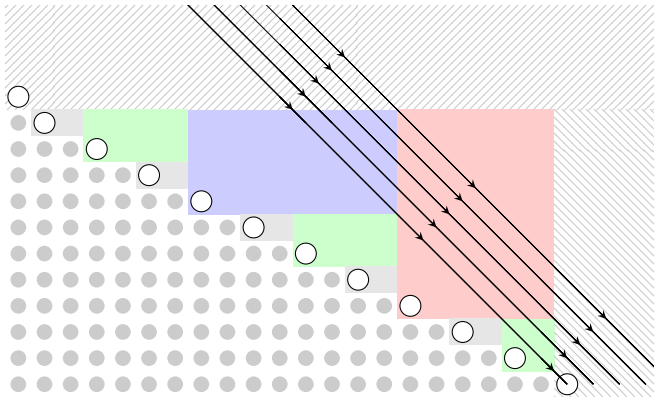
Polynomial reduction – Example



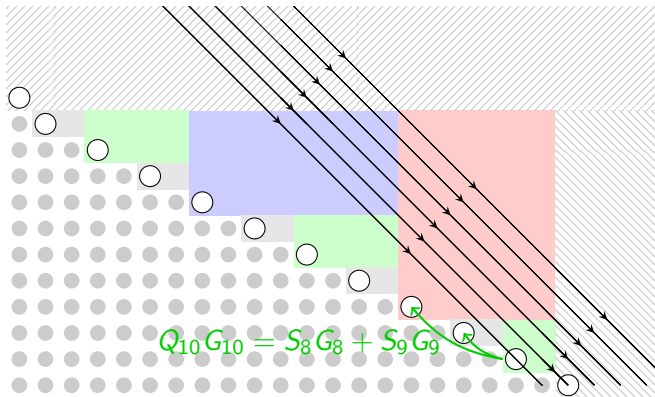
Polynomial reduction – Example



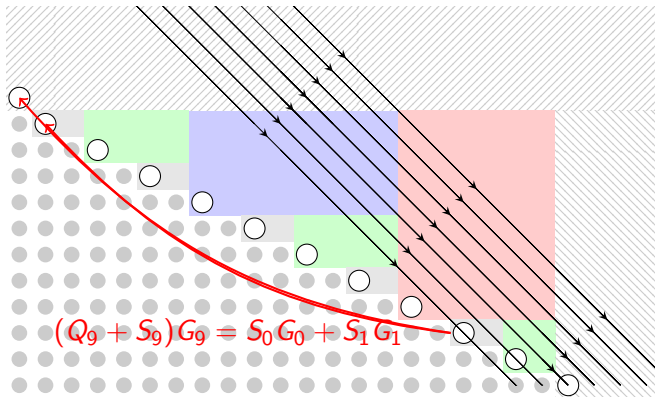
Polynomial reduction – Example



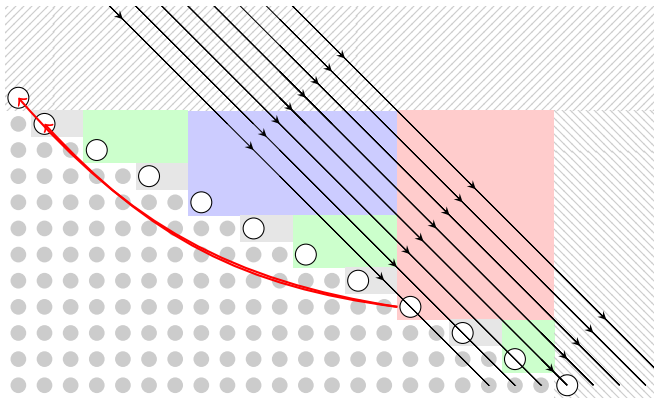
Polynomial reduction – Example



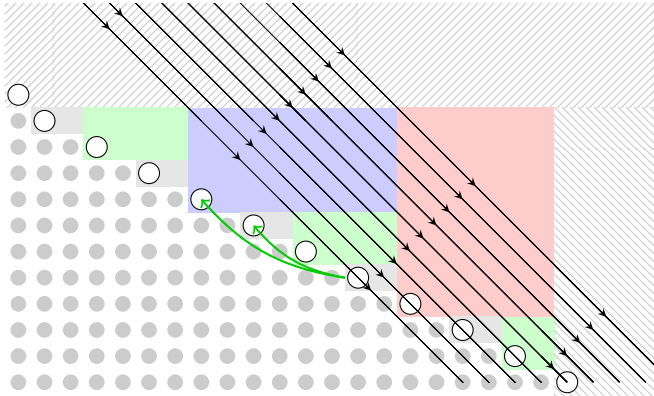
Polynomial reduction – Example



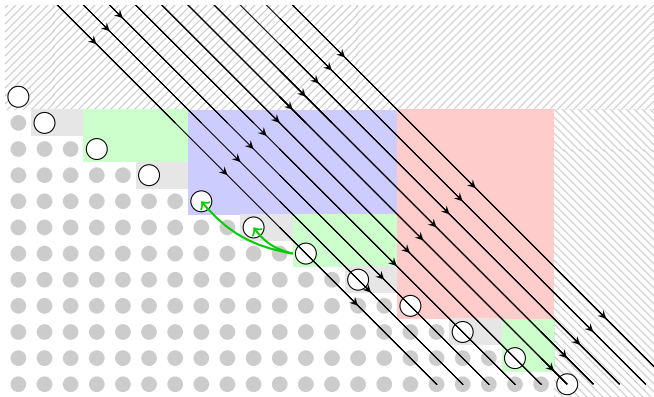
Polynomial reduction – Example



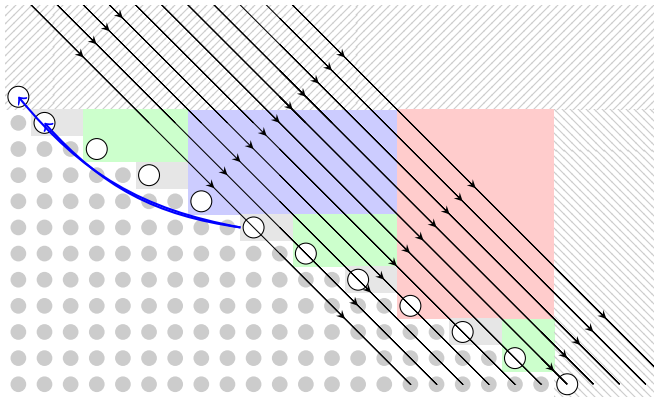
Polynomial reduction – Example



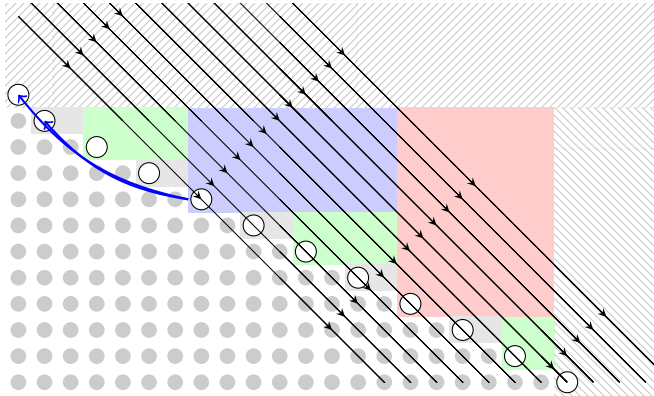
Polynomial reduction – Example



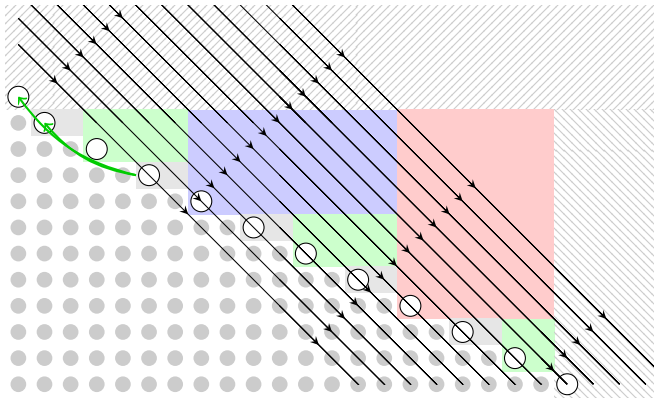
Polynomial reduction – Example



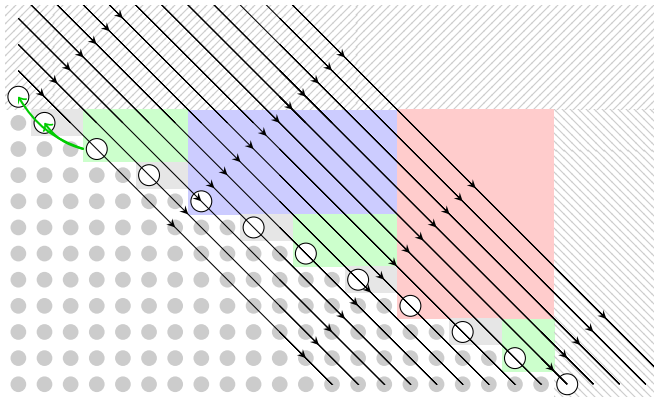
Polynomial reduction – Example



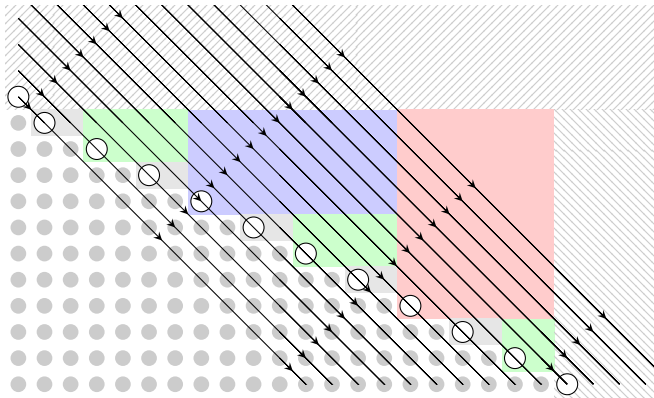
Polynomial reduction – Example



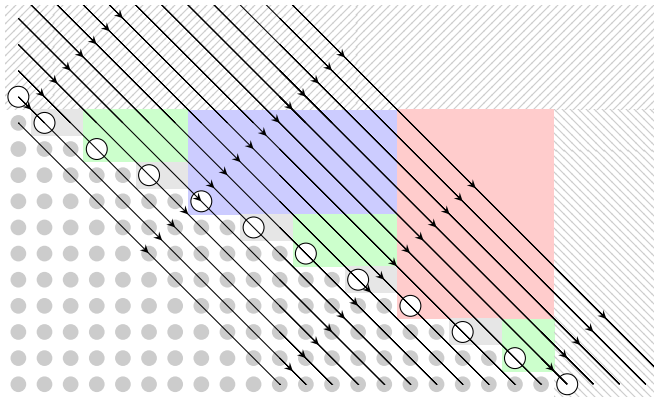
Polynomial reduction – Example



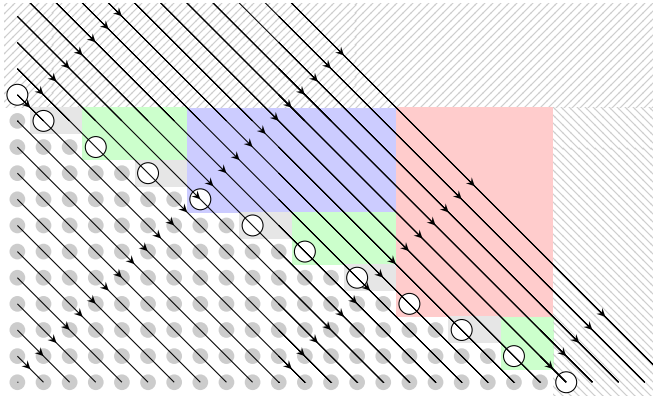
Polynomial reduction – Example



Polynomial reduction – Example



Polynomial reduction – Example



Gröbner basis

- Compute the concise representation: $\tilde{O}(n^2)$.
- Let $t_i := X^{\max(0, 2i-1)} Y^{n-i} = \text{lt}(G_i)$.
- Reduce t_i modulo G and let R_i be the remainder:
 $\tilde{O}(n^2)$ for each element $\implies \tilde{O}(n^3)$.
- Set $G_i^{\text{red}} := t_i - R_i$.

Gröbner basis

- Compute the concise representation: $\tilde{O}(n^2)$.
- Let $t_i := X^{\max(0, 2i-1)} Y^{n-i} = \text{lt}(G_i)$.
- Reduce t_i modulo G and let R_i be the remainder:
 $\tilde{O}(n^2)$ for each element $\implies \tilde{O}(n^3)$.
- Set $G_i^{\text{red}} := t_i - R_i$.

\implies This is quasi-optimal since G has size $\Theta(n^3)$.

Main result

In a generic bivariate setting, there are quasi-optimal algorithms for polynomial reduction (in terms of the size of A, B, P) and to compute the reduced Gröbner basis (in terms of the output size)

In other words

- Structure of $\mathbb{K}[X, Y]/\langle A, B \rangle$ with quasi-optimal complexity.
- Quasi-optimal ideal membership test $P \in? \langle A, B \rangle$.
- Quasi-optimal multiplication in $\mathbb{K}[X, Y]/\langle A, B \rangle$.

Main result

In a generic bivariate setting, there are quasi-optimal algorithms for polynomial reduction (in terms of the size of A, B, P) and to compute the reduced Gröbner basis (in terms of the output size)

In other words

- Structure of $\mathbb{K}[X, Y]/\langle A, B \rangle$ with quasi-optimal complexity.
- Quasi-optimal ideal membership test $P \in? \langle A, B \rangle$.
- Quasi-optimal multiplication in $\mathbb{K}[X, Y]/\langle A, B \rangle$.

Generalization:

- Slightly degenerate cases ?
- More than 2 variables ?

Main result

In a generic bivariate setting, there are quasi-optimal algorithms for polynomial reduction (in terms of the size of A, B, P) and to compute the reduced Gröbner basis (in terms of the output size)

In other words

- Structure of $\mathbb{K}[X, Y]/\langle A, B \rangle$ with quasi-optimal complexity.
- Quasi-optimal ideal membership test $P \in? \langle A, B \rangle$.
- Quasi-optimal multiplication in $\mathbb{K}[X, Y]/\langle A, B \rangle$.

Generalization:

- Slightly degenerate cases ? \rightarrow seems feasible.
- More than 2 variables ? \rightarrow much more difficult.

Proof-of-concept implementation (in Sage) at

<https://www.lix.polytechnique.fr/~larrieu/>

- Mainly intended as correctness proof.
- Missing (fast) implementation of some primitives \implies reduction is not competitive in practice.
- Computing the concise representation is faster than Sage's builtin Gröbner basis library for $n \geq 160$.

Proof-of-concept implementation (in Sage) at

<https://www.lix.polytechnique.fr/~larrieu/>

- Mainly intended as correctness proof.
- Missing (fast) implementation of some primitives \implies reduction is not competitive in practice.
- Computing the concise representation is faster than Sage's builtin Gröbner basis library for $n \geq 160$.

Thank you for your attention